

#### WELCOME TO EXPERT BRIEFINGS

A Balancing Act – Freezing and Fall Prevention in Parkinson's Disease

- The program will begin at the top of the hour
- Meeting attendees will be muted

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James Beck, PhD Chief Scientific Officer, Parkinson's Foundation

#### Colum MacKinnon, PhD

Professor, Department of Neurology, Institute of Translational Neuroscience, University of Minnesota

#### **Our Mission**



#### The Parkinson's Foundation

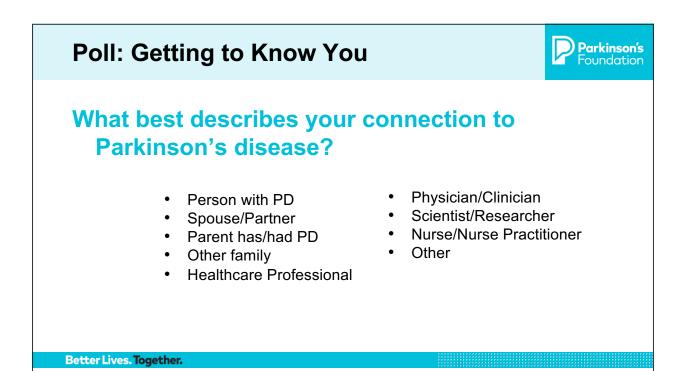
makes life better for people with Parkinson's disease by improving care and advancing research toward a cure. In everything we do, we build on the energy, experience and passion of our global Parkinson's community.

We have everything you need to live better with Parkinson's.

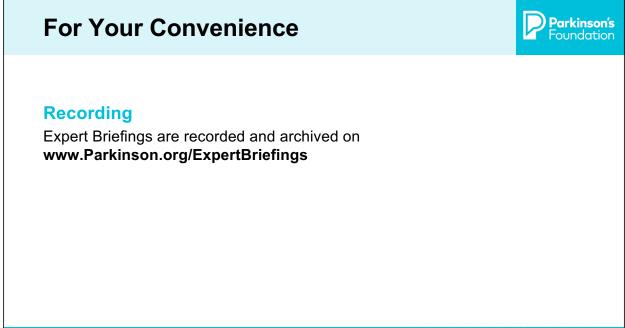












#### **Meet Your Expert**





#### Colum MacKinnon, PhD

- Professor, Department of Neurology
- Institute of Translational Neuroscience
- University of Minnesota



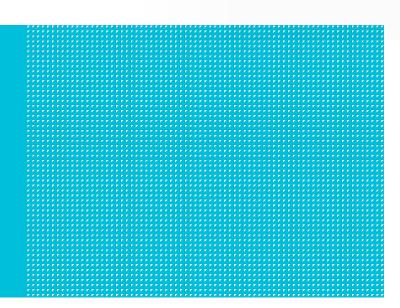






## A Balancing Act: Freezing and Fall Prevention in Parkinson's

Colum D. MacKinnon, PhD Department of Neurology Institute for Translational Neuroscience University of Minnesota



# **Disclosures**



None

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#### Objectives

- 1. Learn the factors contributing to falls in PD
- 2. Learn of the principles of balance
- 3. Identify six primary barriers to safe and effective standing and walking
- 4. Discuss strategies and interventions to improve posture, balance and walking and prevent falls
- 5. Discuss the ingredients for quality movements.



### Falls in Parkinson's Disease

#### Significant cause of:

- Disability
- Reduced independence
- Reduced quality of life in people

#### Approximately 60% of people with PD will fall each year,

- 2/3rds of these people fall recurrently
- The severity and frequency of falls increases as disease progresses

#### Consequences of falls include:

- injuries (e.g. incidence of hip fracture is 4x times higher in people with PD)
- restriction of activities of daily living
- fear of falling
- high levels of caregiver stress

Pilcioni et al., Int J Environ Res Public Health, 16, 2019



### Primary contributors to falls in PD

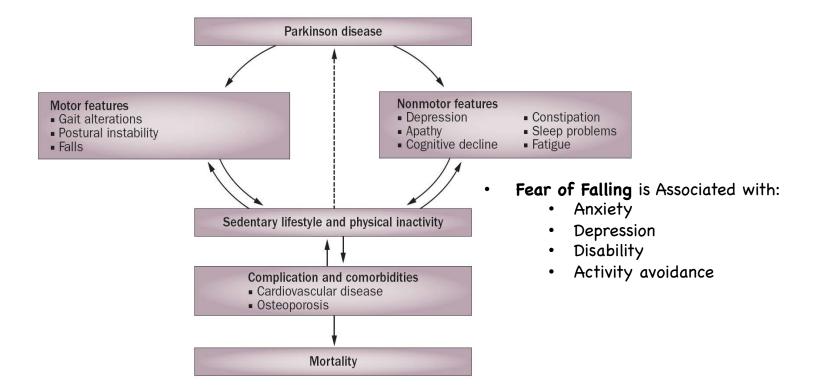
- Postural instability
- Freezing of gait (FoG)
- Freezing of gait, together with postural instability, explain approximately 80% of falls

Falls in PD mostly occur during:

- Turning (24%)
- Bending forward (16%)
- Standing up (15%)
- Other (45%) (e.g. initiating walking, trying to avoid an obstacle)
- \*\*Falls usually happen unrelated to dominant environmental hazards, but rather to <u>self-induced movements of the center of mass</u>

#### Vicious Circle of Parkinson's disease





Speelman et al. Nature Reviews Neurology 2011

### Breaking the Cycle



- 1. Strength (4 cups)
- 2. Range of motion (4 cups)
- 3. Postural Challenge (to taste; increase as needed)
- 4. Cognitive load (to taste; increase as needed)



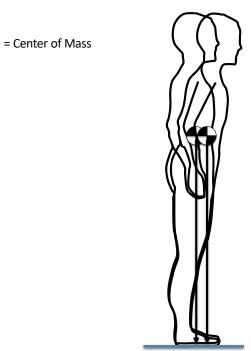
Parkinson's

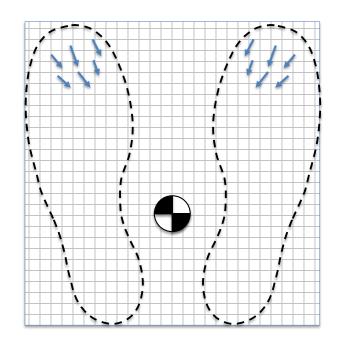
5. Tater Tots

### Principles of balance



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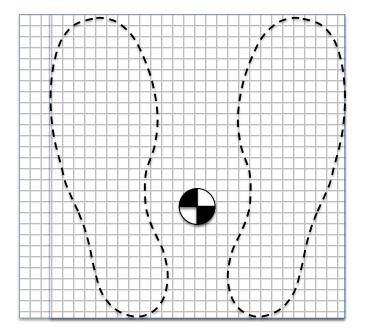




#### Principles of balance: Increasing base of support

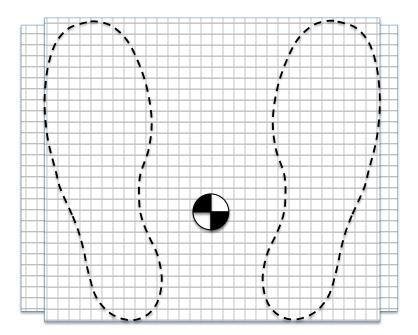


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Widen Stance Width

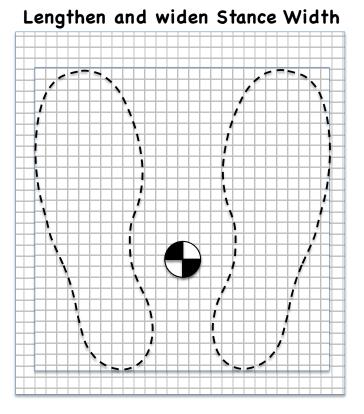
Externally Rotate Feet (wider side-to-side, but shorter front-to- back)



#### Principles of balance: Increasing base of support



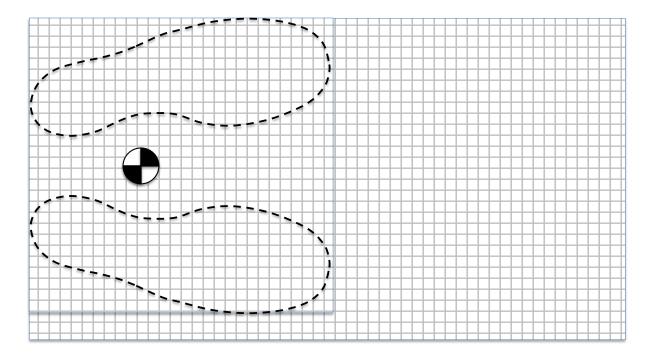
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### Principles of balance



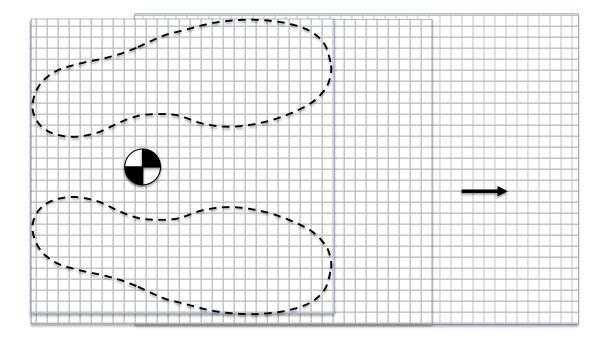


- 1. Reactive Balance
- 2. Anticipatory

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### Principles of balance

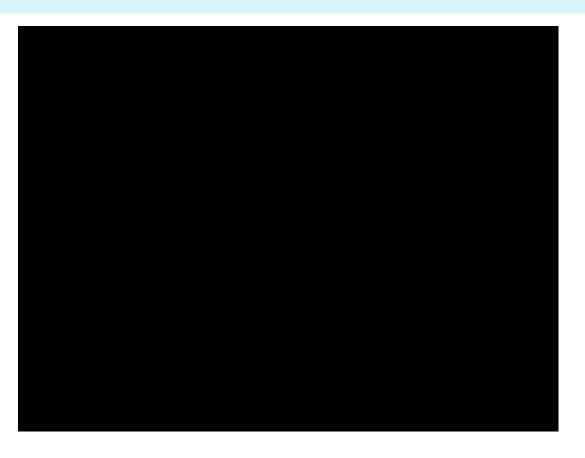




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### The Challenge of Standing while Walking





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### The Challenge of Standing while Walking



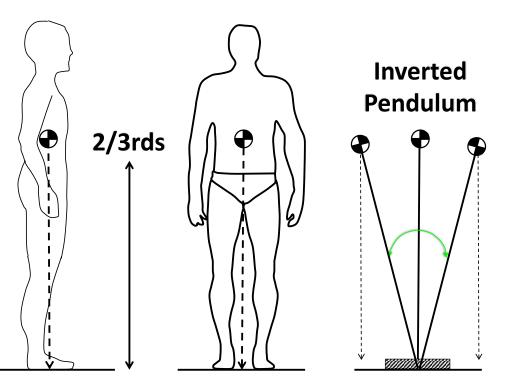
Better Lives. Together.



### The Challenge of Standing



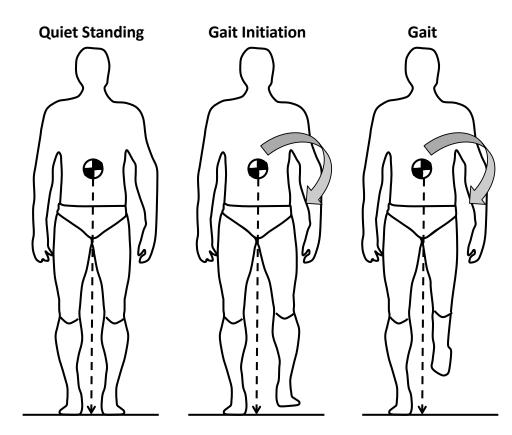
#### The 2/3rds-2/3rds Problem



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### The Challenge of Standing





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(from Winter DA (1993) Medical Progress Through Technology. 19:61-81.)

- 1. Support: Maintenance of support of the upper body (i.e. prevent a vertical collapse of the lower limb during stance)
- 2. Balance: Maintenance of upright posture and balance of the total body
- **3. Foot trajectory**: Control of foot trajectory to achieve safe ground clearance, an effective step, and a gentle initial contact
- **4. Energy Generation**: Generation of mechanical energy at key points in time in the gait cycle to maintain present velocity or to increase the forward velocity of the body
- **5. Energy Absorption**: Generation Absorption of mechanical energy for shock absorption, stability or to decrease the forward velocity of the body
- 6. Head Stability: Attenuation of accelerations transmitted to the head

#### Freezing of Gait (FoG)

#### Definition: Paroxysmal episodes where there is an inability to step effectively, despite attempting to do so.

- a marked reduction or complete cessation in forward progression of the feet despite attempting to do so
- characterized by trembling of the knees, short shuffling steps or complete akinesia, and usually last 1–2 s, although longer periods can occur.

• FoG affects over half of people with Parkinson's disease

#### When do FoG episodes typically occur?

- Turns
- Passing through narrow or congested passages (e.g. doorways)
- Approaching a destination (such as a chair)
- Starting to walk (gait initiation)

#### Factors that can increase the severity (incidence, duration) of FoG episodes:

- Anxiety (stress)
- Cognitive loading (dual-tasking)
- Environmental conditions (e.g reduced visual input (e.g. darkened room)

FoG is more pronounced with advanced disease and when OFF dopaminergic medication

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Transitions in movement state



#### Features of Freezing of Gait

- 1. Hastening, or an increase in cadence with a decrease in step length, often precedes FoG
- 2. At the onset of the freeze, the foot or toe does not leave the ground or only barely clears the support surface
  - the body keeps moving forward despite the failed step
  - this an important feature to precedes a fall
- 3. After the failed step, there is often a period of alternate trembling of the legs that occurs at a frequency of 3–8 Hz
  - During this period, any further attempt to step is unlikely to be successful
- 4. FoG is commonly precipitated or relieved by various cues
- 5. FoG can be asymmetrical, affecting mainly one foot or being elicited more easily by turning in one direction.

#### Freezing of Gait

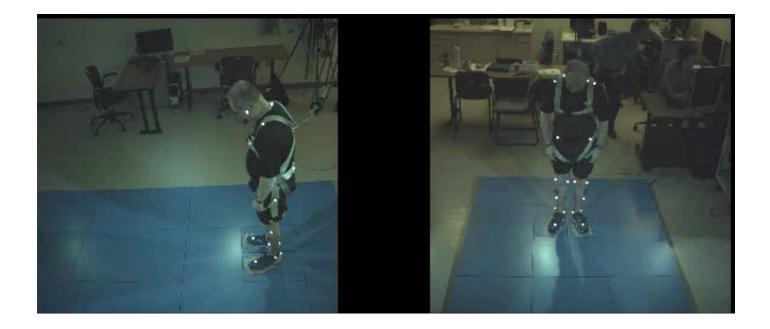




Snijders & Bloem, New England J Med, 2010

### Freezing During Gait Initiation







### Freezing During Gait Initiation









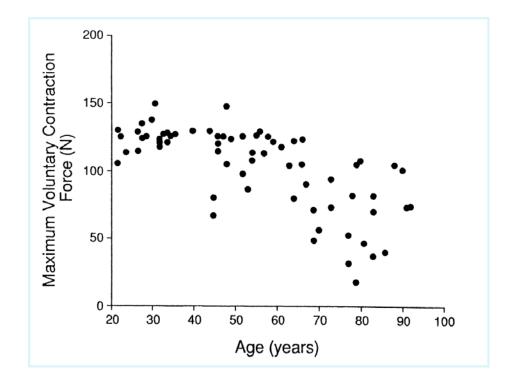
#### Barriers to safe and effective standing and walking

#### • FORCE GENERATION (strength)

- RANGE OF MOTION
- MOVEMENT RATE
- SELF INITIATION
- BALANCE AND POSTURE
- COGNITIVE RESERVE (DUAL-TASKING)

#### Age Influences Muscle Strength



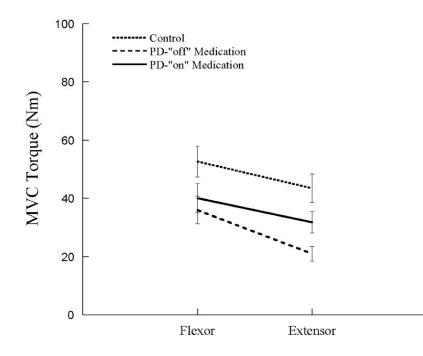


Narici et al. J App Physiol, 1991

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#### Strength in Parkinson's Disease





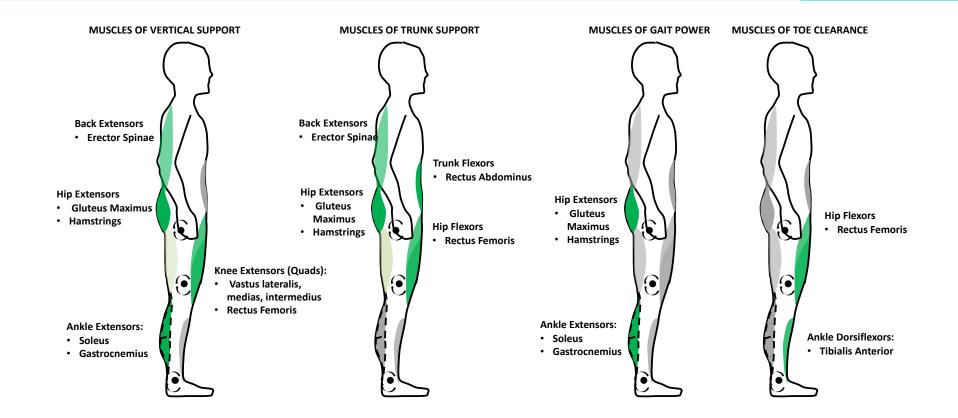
- Force production is reduced in PD
- Deficits are greater in **extensors** than flexors
- Strength is improved with medication or DBS, but not to normative states

Robichaud et al., Exp Brain Res, 156, 2004

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#### Key Muscles of Gait and Postural Stability

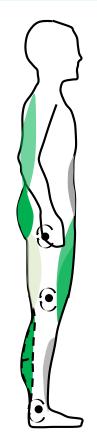




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# Guidelines for strength training

- Progressive resistance exercise:
  - 2 to 3 times/wk
  - for 20 to 60 minutes or more.
- Specifically, progressive resistance exercise that systematically increases the intensity of muscular demand over time is recommended to maximize neuromuscular gains.
- Target <u>all major muscle groups</u>, but focus on:
  - Extensors (ankle, knee, hip, back)
  - Hip flexors and extensors
  - Hip abductors and adductors
- Additionally, progressive resistance exercises may be most beneficial when combined with:
  - Instability training (perturbations, balance on uneven surfaces)
  - flexibility exercises and tasks that emphasis a large ROM





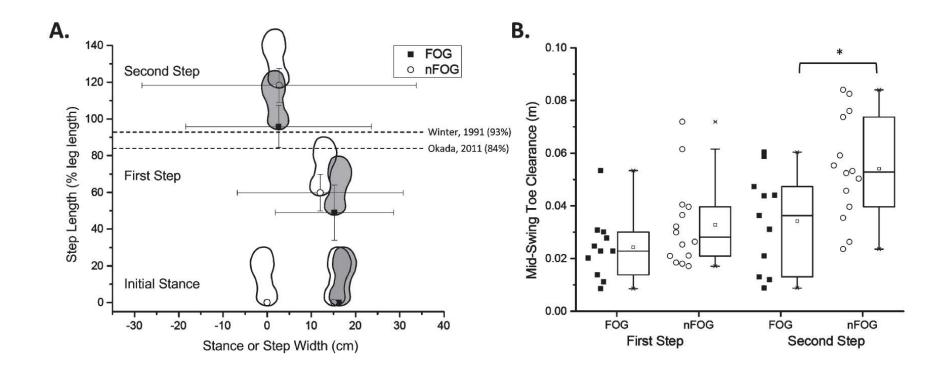


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# Reduced step length and toe-clearance in FOG during gait initiation

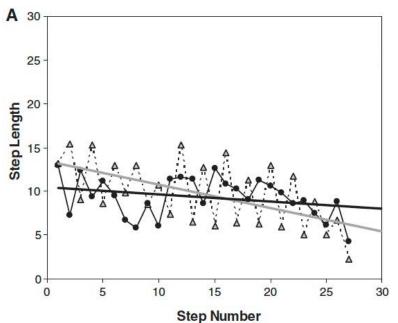




Amundsen Huffmaster et al., J Parkinson's Disease, 10, 2020

#### The Gait Sequence Effect

Decreasing step length with increasing step number



PD with FOG

Increased cadence in conjunction with decrease step length often triggers or precedes a FoG event leading to a fall.

Chee et al., Brain, 132(8), 2009

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#### Lee Silverman Voice Therapy (LSVT LOUD & LSVT BIG)



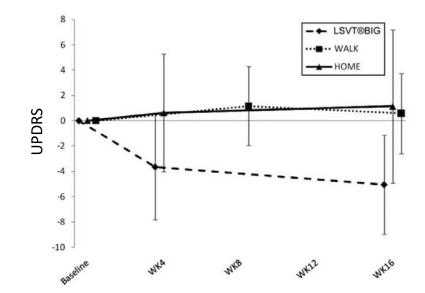


## Lee Silverman Voice Therapy (LSVT LOUD & LSVT BIG)



## Principles of LSVT BIG

- Amplitude (large ROM)
  - Large movements are associated with higher velocity
  - Large movements take longer to complete
- High Intensity (effort)
- Calibration (proprioceptive sense)





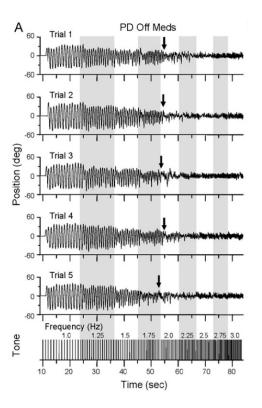
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# Low vs. High Tempo (Rate) Movements



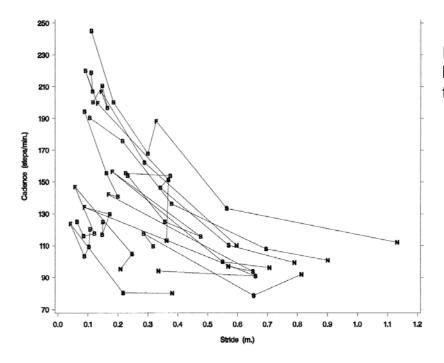




Stegemöller et al., Movement Disorders, 24(8), 2009

## Cadence (steps per minute and Stride Length

FOG associated with short steps and high cadence



Increased cadence in conjunction with decrease step length often triggers or precedes a FoG event leading to a fall.

Nieuwboer et al., Mov Disord, 16, 2001

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## Movement Rate Barrier

- Repetitive movements often have a rate-dependent impairment
- Individuals with PD often show impairments in limb movement at rates near 2 movements/s
  - the "2 Hz Barrier"
- This impairment is resistant to levodopa replacement therapy
- This impairment is resistant to STN-DBS therapy
- Strategies to overcome difficulties with repetitive movements:
  - 1. Slow down (reduced the movement rate)
  - 2. Keep the movement large
  - 3. Execute the movement with vigor!

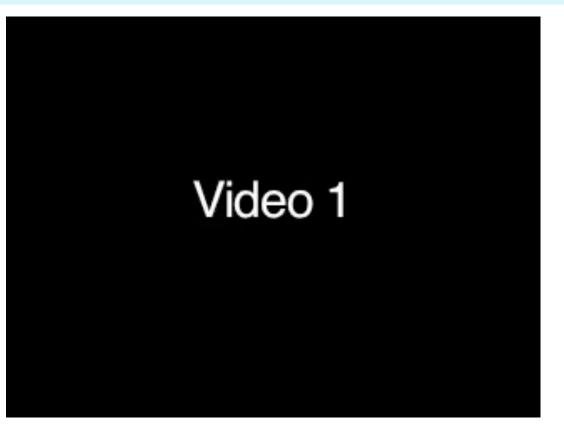


## Barriers to safe and effective standing and walking

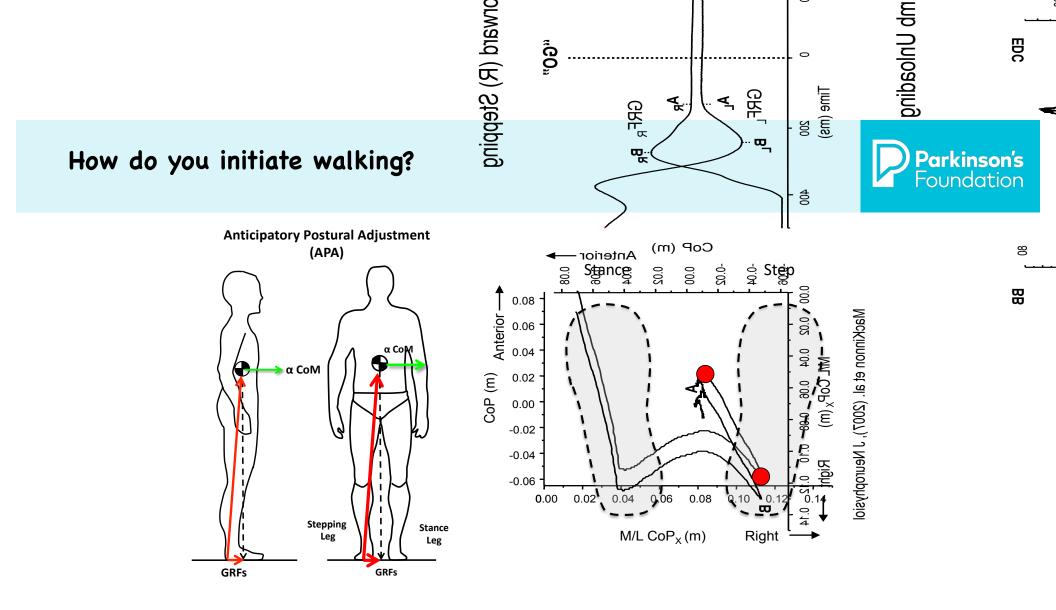
- FORCE GENERATION (strength)
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# "Paradoxical" movement in Parkinson's disease



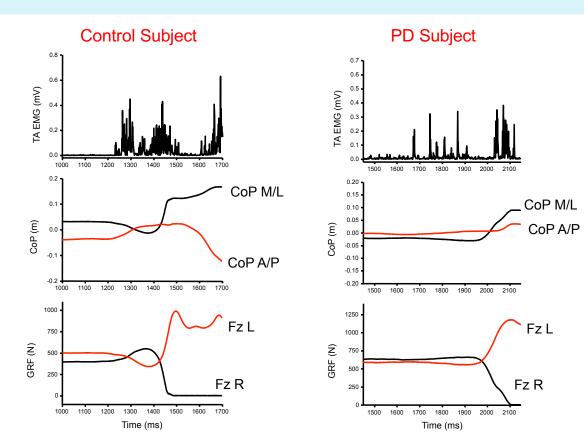


Snijders & Bloem, New England J Med, 2010



# Step Initiation in Parkinson's Disease

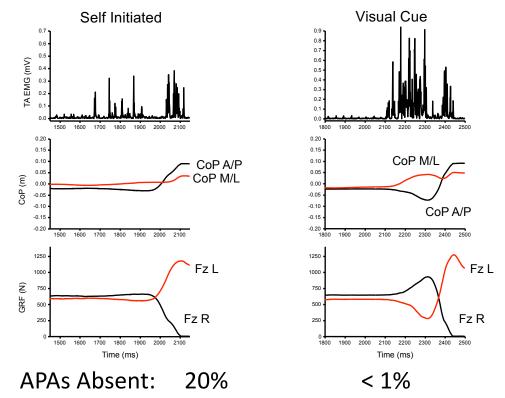




Rogers et al., J Neurophysiol, 106, 2011

## Impact of a simple visual cue on gait initiation in PD





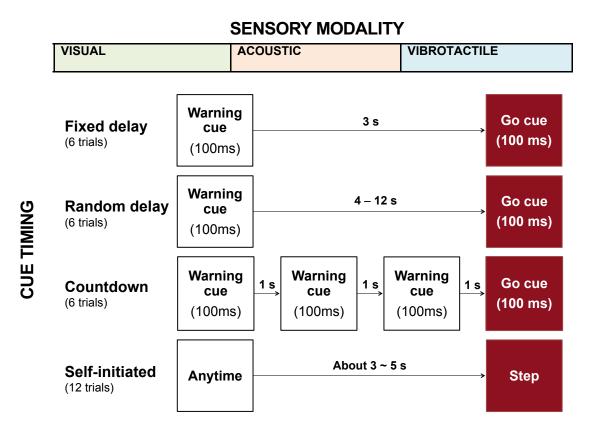
## Representative Parkinson's Subject

Rogers et al., J Neurophysiol, 106, 2011

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## What is the best method to cue?





Chiahao Lu, PhD

Lu et al., Arch Phys Med Rehab, 98, 2017

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## What is the best method to cue?





Chiahao Lu, PhD

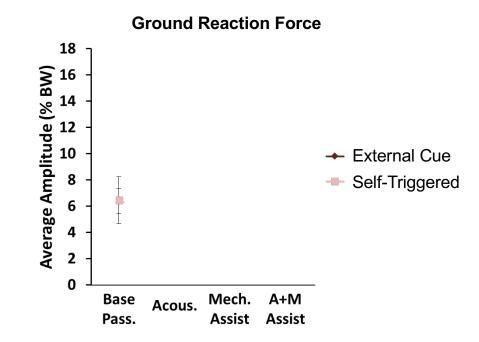
Percentage of Trials Without an Anticipatory Postural Adjustment

SELF INITIATED	VISUAL			ACOUSTIC			VIBROTACTILE		
	FIXED	RANDOM	COUNT- DOWN	FIXED	RANDOM	COUNT- DOWN	FIXED	RANDOM	COUNT- DOWN
<mark>17 ± 25%</mark>	1 ± 3%	0 ± 0%	2 ± 10%	0 ± 0%	0 ± 0%	2 ± 7%	1 ± 4%	2 ± 5%	2 ± 5%

Lu et al., Arch Phys Med Rehab, 98, 2017

# Can you "self-trigger" gait initiation?





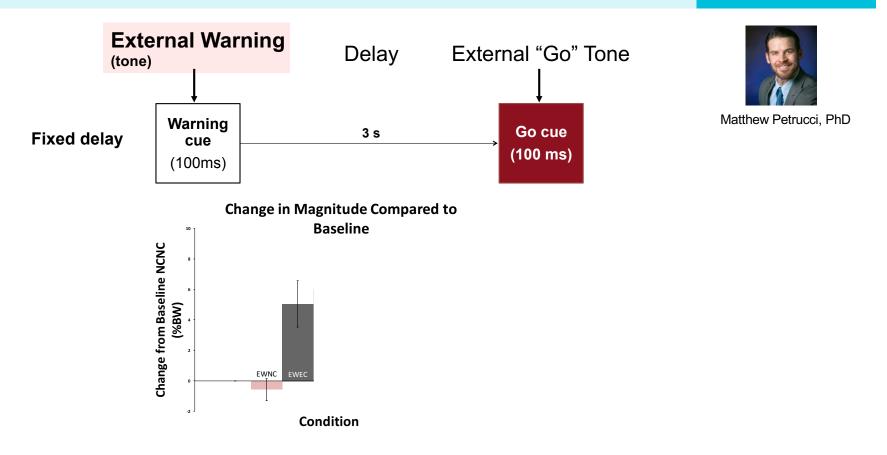


Matthew Petrucci, PhD

Petrucci et al., J Parkinson's Disease, 12, 2022

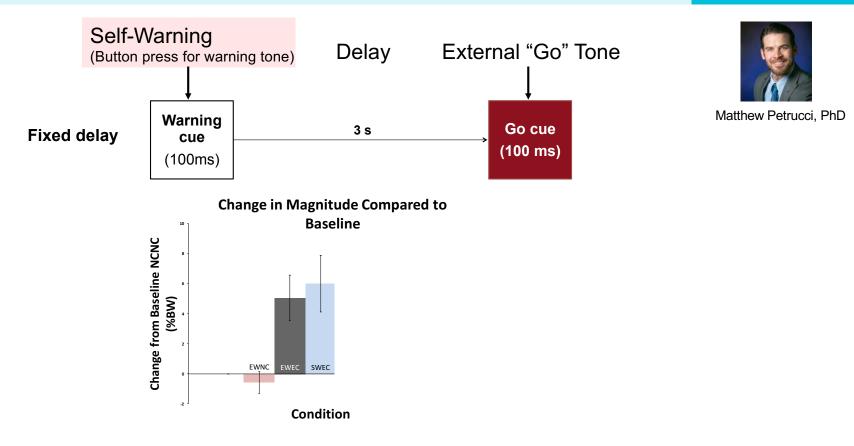
# Overcoming the "self-initiation" problem: Use a "self-warning" cue

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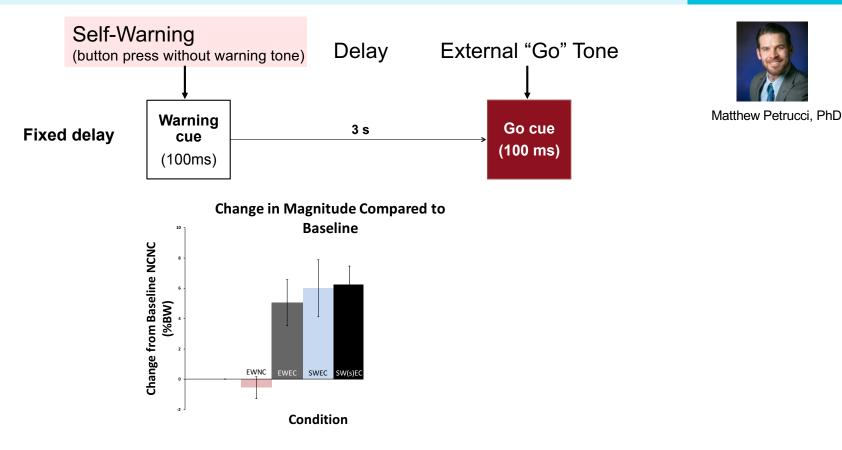
# Overcoming the "self-initiation" problem: Use a "self-warning" cue

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# Barriers to safe and effective standing and walking

- FORCE GENERATION (strength)
- RANGE OF MOTION
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# Exercise Programs that Improve Balance

## Elements of effective balance programs

- Can be performed in a safe environment (postural support as needed)
- Involves weight-bearing (progressive as necessary)
- Progressive
  - Movements puts the body in an extended position that challenges the postural control system (center of mass outside the base of support)
  - Advanced): Challenging terrain; obstacles, uneven surfaces, uphill/downhill
- High cognitive, proprioceptive, and motor control demands
- 3x per week, 30-40 minutes

## Examples:

- Aqua Aerobics (water provides support & resistance)
- Tai Chi (whole body, controlled, balance challenging)
- Dance (e.g. tango) (social, balance challenging)
- Rock Steady Boxing (balance challenging, vigor!)
- Yoga (controlled, balance challenging)







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## Falls Prevention Training

### Weight-bearing Exercise for Better Balance (WEBB)

PD-WEBB program: www.webb.org.au

Grading components from easiest to hardest						
<i>Centre of mass</i> Aim to increase number of body segments involved	<b>Base of support</b> Aim to reduce size of base provided by feet	<i>Arm support</i> <i>Aim to reduce support</i>				

#### **Exercise Components:**

- Progressive resistance training: participants wears a vest or belt with no weight or up to 2% of body weight added before 1. commencing strength exercises. Gradually increase resistance or intensity so that person can do 2 sets of 10 repetitions max.
- 2. Endurance: aim for 30 to 60 minutes of moderative intensity exercise and lower limb strengthening exercises 3 times a week
- 3. Exercises:

•

- Standing with a decreased base of support •
- Heel raises
- Graded reaching during standing
- Stepping in directions
- Walking practice
- Sit-to-stand

- Lateral step-up, forward step-up
- Half-squats
- Bike, treadmill, overground walking or sit-to-stand endurance

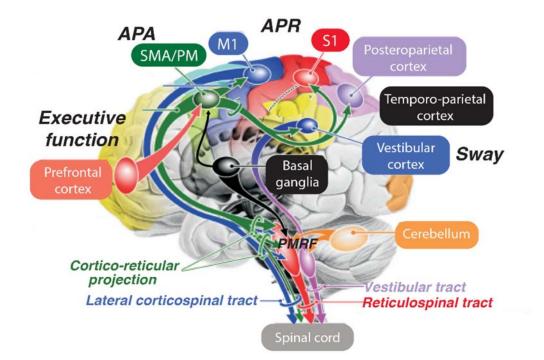


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## Brain circuits involved in maintaining posture, balance and gait



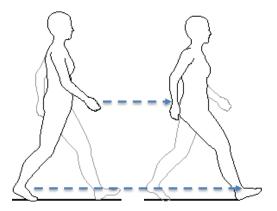


- Spinal cord (execution)
- Brainstem (pattern and timing)
- Basal ganglia (selection, gain, reward)
- Cerebellum (error detection/correction)
- Cortex (planning, initiating, decisionmaking, dual-tasking)

# Role of cognitive decline in gait and postural impairment

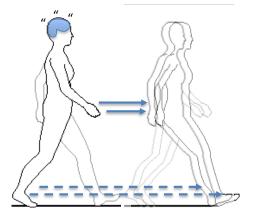
#### **Gait is Automatic**

- Performed without thought
- Consistent step length and timing
- Able to dual-task without interruption of gait cycle



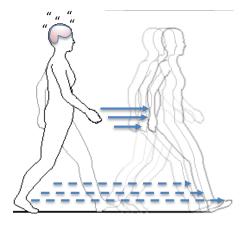
#### Decreased Automaticity

- Increased variability of step length and timing
- Increased Cognitive Contribution
- Dual-task cost
  - Thinking vs. walking
    - Priority #1: posture-balance-gait
    - Priority #2: thinking



#### **Decreased Automaticity + Cognitive Impairment**

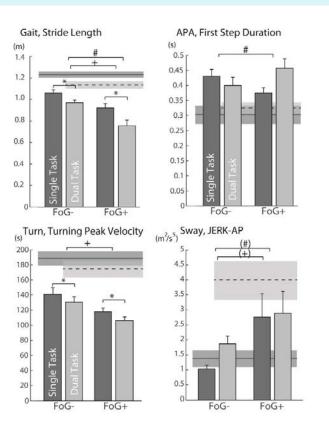
- Increased variability of step length and timing
- Required increased Cognitive Contribution, but compromised cognitive impairment
- Large dual-task cost
  - · Thinking vs. walking
    - Priority incorrect: Posture-second?"
    - Priority #1: thinking
    - Priority #2: posture-balance-gait



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## Dual-task cost in people with FOG



De Souza Fortaleza et al., Gait and Posture, 56, 2017

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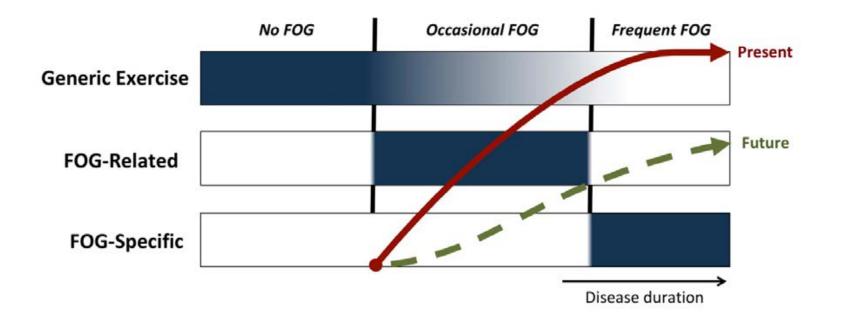
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#### Occurrence of FoG Episodes

	Straight	$180^{\circ}$	360°
Single task	0%	37.5% [21.4–53.6]	31.6% [16.1-47.1]
Dual task	5% [0-12.3]	37.5% [21.4–53.6]	61.1% [44.9–77.4]

Spildooren et al., Mov Disord, 25, 2010





Gilat et al., NPJ Parkinsons Disease, 7, 2021



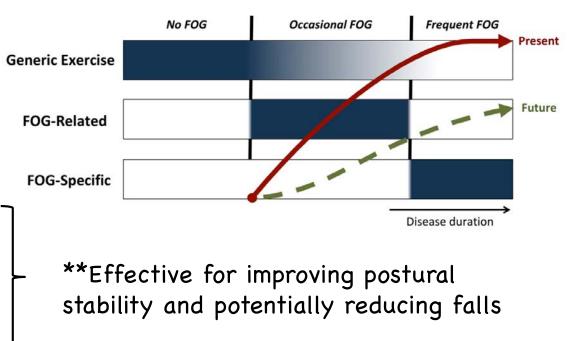
Conventional physical therapy or generic exercise interventions that are also frequently offered to healthy older individuals to improve physical and/or mental fitness and other benefits, irrespective of their possible potential to also benefit FOG.

Examples:

- Dancing dancing (e.g. tango)
- Yoga
- Physical therapy (not aimed at FOG)
- Aquatic training,
- Tai-chi gait training
- Muscle-power training
- Music therapy.
- Fall-prevention training

Generic exercises do not contribute to the alleviation of FOG

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Gilat et al., NPJ Parkinsons Disease, 7, 2021

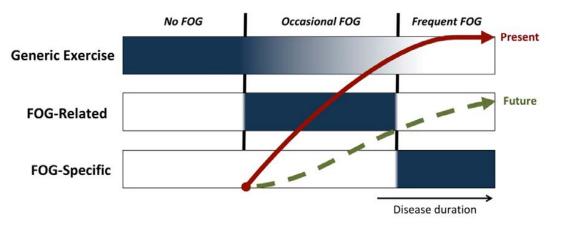




 training-based interventions aimed at reduce the severity or amount of FOG following the intervention, but not aimed at the immediate alleviation of imminent FOG episodes or circumventing FOG provoking circumstances while the intervention was applied.

#### Examples are:

- cognitive training
- cognitive-motor dual-task training
- balance training
- curved treadmill training
- regular treadmill training with cueing that was aimed at improving gait parameters other than FOG;
- obstacle avoidance training.



Gilat et al., NPJ Parkinsons Disease, 7, 2021

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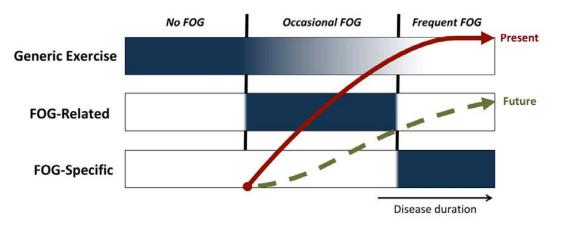
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#### FOG-Specific Training:

• Exercise or training-based interventions aimed directly at reducing FOG episodes or circumventing FOG-provoking circumstances

Examples are:

- Cueing offered to help patients overcome FOG episodes
- Action-observation training strategies designed to relieve FOG in FOG-provoking situations
- <u>Fall-prevention training including</u> strategies to overcome imminent FOG episodes, such as through the use of cueing.



Gilat et al., NPJ Parkinsons Disease, 7, 2021

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## Adapted Resistance Training with Instability



• 3 days per week for 12 weeks (36 training sessions)

Vs.

• Each session lasted 80-90 minutes

#### Traditional Motor Rehabilitation (TMR):

- an active control group, consisted of exercises with focus on stretching, gait, balance, posture, and lower- and upper-limb free-weight exercises.
- sessions were group-based (up to 8 individuals) and monitored by a physical therapist knowledgeable in working with individuals with PD.

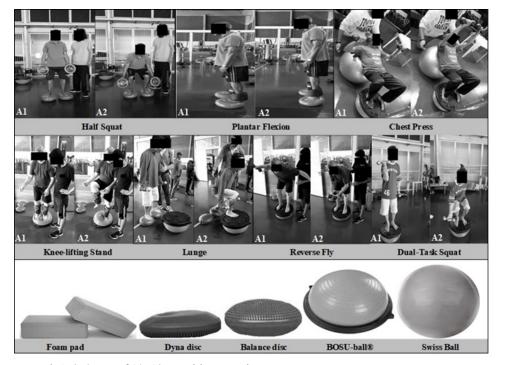
#### Adapted Resistance Training with Instability:

- high motor complexity (ie, exercises that simultaneously require high cognitive, proprioceptive, and motor control demands)
- 7 lower- and upper-limb free-weight exercises combined with unstable devices
- Throughout the 12-week period, there was a progressive increase in motor complexity
- sessions were individualized and monitored by trainers

Silva-Batista et al., Mov Disord, 35, 2020

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## Adapted Resistance Training with Instability



Month 1: 2–3 sets of 10–12 repetitions maximum Month 2: 3–4 sets of 8–10 repetitions maximum Month 3: 4 sets of 6–8 repetitions maximum. Lower-limb and upper-limb free weight exercises:

- half squat
- plantar flexion
- chest press
- knee-lifting stand
- lunge
- reverse fly
- dual-task squat)

Performed on unstable devices

- foam pad
- dyna discs
- balance disc
- BOSU®-ball
- Swiss Ball

#### Progression of weight:

 Increased 5%–10% whenever individuals were able to safely perform the predefined repetitions maximum for two consecutive training sessions

#### **Progression** of instability

• From least to the most unstable devices

Silva-Batista et al., Mov Disord, 35, 2020





## Adapted Resistance Training with Instability

## Results

- Only adapted resistance training with instability improved:
  - Freezing-of-gait ratio
  - Motor signs
  - · Measures of quality of life
  - Anticipatory postural adjustment amplitude (better gait initiation)
  - Activation in the locomotor regions of the brainstem
  - Improvements in the New Freezing of Gait Questionnaire (-4.4 points) and UPDRS-III (-7.4 points) scores exceeded the minimally detectable change (traditional motor rehabilitation group data) and the moderate clinically important difference suggested for PD

Silva-Batista et al., Mov Disord, 35, 2020

**Better Lives.** Together.

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# Breaking the Cycle



- 1. Strength (4 cups)
- 2. Range of motion (4 cups)
- 3. Postural Challenge (to taste; increase as needed)
- 4. Cognitive load (to taste; increase as needed)



Parkinson's

5. Tater Tots

## **Thank You!**





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